

DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: ☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT
☐ SUPERSEDING

OFFENSE CHARGED

26 USC § 7206(1) - 3 counts
Filing False Tax Returns

E-filing

- ☐ Petty
☐ Minor
☐ Misdemeanor
☒ Felony

PENALTY:

26 USC § 7206(1) - 3 yrs impris., \$250,000 fine, 1 year
superv. rel., \$100 assessment

PROCEEDING

Name of Complainant Agency, or Person (& Title, if any)

INTERNAL REVENUE SERVICE

☐ person is awaiting trial in another Federal or State
Court, give name of court

☐ this person/proceeding is transferred from another
district per (circle one) FRCrP 20, 21 or 40. Show
District

☐ this is a reprosecution of
charges previously dismissed
which were dismissed on
motion of:

☐ U.S. Att'y ☐ Defense

☐ this prosecution relates to a
pending case involving this same
defendant

☐ prior proceedings or appearance(s)
before U.S. Magistrate regarding
this defendant were recorded under

SHOW
DOCKET NO.

MAGISTRATE
CASE NO.

Name and Office of Person
Furnishing Information on JOSEPH P. RUSSONIELLO
THIS FORM

☒ U.S. Att'y ☐ Other U.S. Agency

Name of Asst. U.S. Att'y
(if assigned) THOMAS MOORE, AUSA, CHIEF, TAX DIV.

Name of District Court, and for Judge/Magistrate Location
NORTHERN DISTRICT OF CALIFORNIA

DEFENDANT - U.S.

NWADINAME UBA

DISTRICT COURT NUMBER

DEFENDANT

IS NOT IN CUSTODY

1) ☒ Has not been arrested, pending outcome this proceeding.
If not detained give date any prior summons
was served on above charges

2) ☐ Is a Fugitive

3) ☐ Is on Bail or Release from (show District)

NORTHERN DISTRICT OF CALIFORNIA

IS IN CUSTODY

4) ☐ On this charge

5) ☐ On another conviction

6) ☐ Awaiting trial on other
charges

} ☐ Fed'l ☐ State

If answer to (6) is "Yes", show name of institution

Has detainer
been filed? ☐ Yes ☐ No

} If "Yes"
give date
filed

DATE OF
ARREST

Month/Day/Year

Or... If Arresting Agency & Warrant were not

DATE TRANSFERRED
TO U.S. CUSTODY

Month/Day/Year

☐ This report amends AO 257 previously submitted

ADDITIONAL INFORMATION OR COMMENTS

PROCESS:

☐ SUMMONS ☐ NO PROCESS*

☒ WARRANT

Bail Amount: _____

If Summons, complete following:

☐ Arraignment ☐ Initial Appearance

Defendant Address: _____

*Where defendant previously apprehended on complaint, no new summons
or warrant needed, since Magistrate has scheduled arraignment

Date/Time: _____

Before Judge: _____

Comments: _____

1 JOSEPH P. RUSSONIELLO (CSBN 44332)
2 United States Attorney

FILED
00 MAR 13 PM 12:50
RICHARD H. WIEKING
CLERK, U.S. DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

3
4 E-filing
5
6
7

8 IN THE UNITED STATES DISTRICT COURT FOR THE
9 NORTHERN DISTRICT OF CALIFORNIA

10 SAN JOSE DIVISION

JW

11 UNITED STATES OF AMERICA,

12 Plaintiff,

13 v.

14 NWADINAUME UBA,

15 Defendant.

CR 08

0158

VIOLATIONS: 26 U.S.C. § 7206(1)
(Filing False Tax Return)
(Three Counts)

16 INDICTMENT

17 The Grand Jury charges:

18 COUNT ONE: (26 U.S.C. § 7206(1)) - (Filing False Tax Return)

19 On or about April 15, 2002, the defendant

20 NWADINAUME UBA

21 did willfully and knowingly make and subscribe a U.S. Individual Income Tax Return for the
22 2001 calendar year, which was filed with the Internal Revenue Service ("IRS") and verified by
23 the defendant in a written declaration that it was made under the penalties of perjury, which
24 income tax return she did not believe to be true and correct as to every material matter in that
25 said defendant reported \$1,105,167 in gross receipts, whereas, she then and there well knew and
26 believed her gross receipts were substantially in excess of the amount reported in that year.

27 In violation of Title 26, United States Code, Section 7206(1).

28 ///

1 **COUNT TWO:** (26 U.S.C. § 7206(1)) - (Filing False Tax Return)

2 On or about April 15, 2003, the defendant

3 NWADINAUME UBA

4 did willfully and knowingly make and subscribe a U.S. Individual Income Tax Return for the
5 2002 calendar year, which was filed with the IRS and verified by the defendant in a written
6 declaration that it was made under the penalties of perjury, which income tax return she did not
7 believe to be true and correct as to every material matter in that said defendant reported \$547,448
8 in gross receipts, whereas, she then and there well knew and believed her gross receipts were
9 substantially in excess of the amount reported in that year.

10 In violation of Title 26, United States Code, Section 7206(1).

11 **COUNT THREE:** (26 U.S.C. § 7206(1)) - (Filing False Tax Return)

12 On or about April 15, 2004, the defendant

13 NWADINAUME UBA

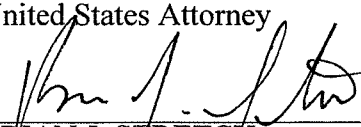
14 did willfully and knowingly make and subscribe a U.S. Individual Income Tax Return for the
15 2003 calendar year, which was filed with the IRS and verified by the defendant in a written
16 declaration that it was made under penalties of perjury, which income tax return she did not
17 believe to be true and correct as to every material matter in that said defendant reported \$155,686
18 in gross receipts, whereas, she then and there well knew and believed her gross receipts were
19 substantially in excess of the amount reported in that year.

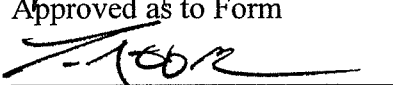
20 In violation of Title 26, United States Code, Section 7206(1).

21 A True Bill

22 
23 FOREPERSON

24 JOSEPH P. RUSSONIELLO
United States Attorney

25  3-13-08
26 BRIAN J. STRETCH
27 Chief, Criminal Section
Approved as to Form

28 
THOMAS MOORE, AUSA